

Dec. 08 2025 12:37PM P3

FROM : CLIMATE PROTECTION DIVISION
FRX NO. : 20234322CS

Allocation Methodology for Building Performance Institute, Inc.

DCN	PRC	FY	Approp	Title	Budget Org/Code	Dollars	%
EC6093	105A46DP3	06/07	B	Climate Change Technology Initiative	58E2	\$200,000	50%
EC6095	105A46D	05/06	BR	Climate Change Technology Initiative	58E0X07	\$200,000	50%
Total						\$400,000	100%

Alloc Meth
& Explanation
of "P3" Coding
on the PRC



Sally Larmon
<slarmon@bpi.org>
12/14/2005 01:47 PM

To: Asher Weinberg/DC/USEPA/US@EPA, Kycha
Holliday/DC/USEPA/US@EPA
cc: William Parlapiano <wjp3@bpi.org>
bcc:
Subject: IDC proposal

History: This message has been replied to.

Attached is the documentation for our IDC proposal for grant XA-83199901-0. Please let me know if you need anything additional.

Asher - please let me know when Yea, 2 funds are available for payment requests.

Sally Larmon
Director of Administration
Building Performance Institute
10 Hermes Rd. Suite 200
Malta, NY 12020
518.899.2727
518.899.1622 (FAX)



INDIRECT COST PROPOSAL 12-7-05 Rev 1.doc Indirect Cost Schedule A Rev 1.xls Lobbying Cert and Checklist.pdf 2004.pdf

EPLS

Excluded Parties List System

★ Search Menu - Current Exclusions

- ▶ Name
- ▶ Multiple Names
- ▶ DUNS
- ▶ Agency
- ▶ State/Country
- ▶ Action Dates
- ▶ Termination Dates
- ▶ Exact Name and SSN/TIN
- ▶ CT Code

★ View Cause and Treatment Code Descriptions

- ▶ Reciprocal Codes
- ▶ Procurement Codes
- ▶ Nonprocurement Codes

★ Agency & Acronym Info

- ▶ Agency Contacts
- ▶ Agency Descriptions
- ▶ State/Country Code Descriptions

★ Related Links

- ▶ Debar Maintenance
- ▶ Administration
- ▶ Upload Login

★ Resources

- ▶ Public User's Manual
- ▶ FAQ

★ Reports Menu

- ▶ Lists Report
- ▶ Supplemental Report
- ▶ Agency Report
- ▶ Supplemental Agency Report
- ▶ State/Country Report
- ▶ List Data Report
- ▶ Supplemental Data Report
- ▶ Cause and Treatment Code

★ Archive Menu - Past Exclusions

- ▶ Name
- ▶ Multiple Names

★ Contact Information

- ▶ Email: support@epls.gov
- ▶ 1-866-GSA-EPLS
- ▶ Phone: 1-866-472-3757
- ▶ Email: eplscomments@epls.gov

Search Results for Parties Excluded

Multiple Partial Name(s) :
building performance institute;
pariapiano, william;
larmon, sally;

As of 21-Dec-2005

No records were found matching your search request.

★ E-GOV



AAA Bobby

ASSISTANCE NO. XA 831999-01-1

DATE 12/19/05

- ☒ Certification of Discretionary Grant 4/30/05
- ☒ Non-Profit (New) Presaward Certified (over \$200K)
- ☒ Non-Profit (New) Programmatic Capability Review for Non-Competed Only
- ☒ Non-Profit New Awards/Amendments - Open Desk Reviews or On-sites, Outstanding Closeouts?
- ☒ OVER \$1M/Copy to Betty M.

- ☒ Cooperative Agreement w/appropriate TCs

SMALL GRANT

- ☒ Correct Decision Official/Del of Auth
- ☒ PO Training/Monitoring Form
- ☒ Cost Sharing/Partial Funding TC 4
- ☒ SD1 (c)(4) TCs 54/55 & Status
- ☒ DBE/MBE TC 15 w/Attachment/Indian MBE TC 67
- ☒ Lobbying/Litigation TC 64
- ☒ Civil Rights Form or TC 61 added
- ☒ IDC negotiated or TC added
- ☒ CFDA and Program Code
- ☒ Correct PRC(D/E)/Object Class/Com. Notice Signed
- ☒ Env. Results (Obj/Subobj-Outputs/Outcomes) w/TC [1/1/05]
- ☒ Prevalidation/Freezing
- ☒ Total BP costs/EPA Dollars (p.1,2,3)
- ☒ Multiple PRC Codes/Allocation Meth.
- ☒ Mixed Appropriation Justification/Alloc Meth
- ☒ Statutory Authority (Lucille Lem)/Regulatory Auth
- ☒ SRO Approval over \$1M
- ☐ Assistance vs Acquisition (Jim Drummond)
- ☐ Compliance with Competition Policy
- ☐ Unsolicited Research (cost share required)
- ☐ Quality Assurance/TC 24 added
- ☐ Reviews/Negative Comments Addressed
- ☐ Foreign - OIA Approval/Fly America TC 13
- ☐ FDP/Deviation TC 38
- ☐ Prompt Payment TC 1
- ☐ No Feds TC 12
- ☒ ACH TC 2 / FSR TC 3
- ☒ Consultants TC 5
- ☒ Debarment TC 75
- ☒ Early Start Date over 90 Days TC 59
- ☐ Conference Questionnaire
- ☐ Program Income TC 63
- ☐ EPA In-Kind in Budget/TC added
- ☐ Use of EPA logo - add TC
- ☐ Copyright TC 65
- ☐ Research Misconduct TC 50
- ☐ State Recipient/TCs 11,51,52,53,73
- ☐ Progress Reports (OW TC 19)
- ☐ Final Report
- ☐ Human Subjects TC 6/ORD Approval
- ☐ Animal Subjects TC 7
- ☐ Deviation for Extension (Res & Tng) TC 41
- ☐ Minority Issue - Wendy L. Adams (OGC)
- ☐ Computer Models Questionnaire
- ☒ PRA/ICR Issue - Barbara Pace (OGC) TC 9
- ☒ GMS Entries checked/DUNNS number
- ☒ EPLS and SDN Lists Checked

Range incorrect

Did you receive IDE proposal?

I thought they had - via recip submitted proposal on 12/14/05.

EPLS

Mixed approp?

Alla meth included

Revised 11/17/05

Confirmation Report - Memory Send

Time : Dec-29-2005 12:23pm
Tel line : +2025652470
Name : OARM/GAD


Job number : 900
Date : Dec-29 12:10pm
To : 91518991622
Document pages : 005
Start time : Dec-29 12:10pm
End time : Dec-29 12:23pm
Pages sent : 900
Status : NG 80

Job number : 900 *** SEND FAILED ***

R: Sally Larson
514-874-1622

FOR YOUR FILE

DEC 29 2005

 U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment		ASSISTANCE ID NO. EA-83189801-1 Page 1 PRO : DEC 10 AMEND XA : 83189801 - 1 TYPE OF ACTION : AMENDMENT INCREASE PAYMENT METHOD : ACH Send Payment Request to : US EPA, FORM 83189801 PAYEE : Building Performance Institute, Inc. Director of Administration Building Performance Institute, Inc. 10 Harms Road, Suite 200 Mahwah, NY 10950	
RECIPIENT TYPE: Not for Profit RECIPIENT: Building Performance Institute, Inc. 10 Harms Road, Suite 200 Mahwah, NY 10950 EIN: 13-1789514		PROJCTY OFFER: William F. Asher, Jr. Building Performance Institute, Inc. 10 Harms Road, Suite 200 Mahwah, NY 10950 E-Mail: WFAsher@bpi.gov Phone: 202-864-3346	
PROJCTY OFFER: William F. Asher, Jr. Building Performance Institute, Inc. 10 Harms Road, Suite 200 Mahwah, NY 10950 E-Mail: WFAsher@bpi.gov Phone: 202-864-3346		EPA PROJCTY OFFER: Leni M. Asher 1500 Pennsylvania Ave. NW, 80024 Washington, DC 20460 E-Mail: Leni.Asher@epa.gov Phone: 202-343-8841	
PROJCTY OFFER: William F. Asher, Jr. Building Performance Institute, Inc. 10 Harms Road, Suite 200 Mahwah, NY 10950 E-Mail: WFAsher@bpi.gov Phone: 202-864-3346		EPA PROJCTY OFFER: Leni M. Asher 1500 Pennsylvania Ave. NW, 80024 Washington, DC 20460 E-Mail: Leni.Asher@epa.gov Phone: 202-343-8841	
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 6 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the property requested documents within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.			
OFFER AND ACCEPTANCE The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the Building Performance Institute, Inc. for the support of approved budget period effort described in application (including all application modifications) due in the Project Title and Description above, for \$716,000 , included herein by reference.			
RECIPIENT OFFICE (GRANT'S MANAGEMENT OFFICE) ORGANIZATION / ADDRESS Grants Administration Division 1500 Pennsylvania Ave. NW Washington, DC 20460		AWARD APPROVAL OFFICE ORGANIZATION / ADDRESS Environmental Protection Agency Office of Air and Radiation 1500 Pennsylvania Ave. NW Washington, DC 20460	
SIGNATURE OF AWARD OFFICIAL <i>[Signature]</i>		SIGNATURE OF THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY <i>[Signature]</i>	
DATE 12/29/05		DATE 12/29/05	
This agreement is subject to applicable U.S. Environmental Protection Agency regulatory provisions and assistance requirements, including this award, or any amendments and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees to (a) that the award is subject to the applicable provisions of 40 CFR, Chapter I, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.			
SIGNATURE BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION		SIGNATURE BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION	
DATE 12/29/05		DATE 12/29/05	

Confirmation Report - Memory Send


Time : Dec-29-2005 03:08pm
Tel line : +2025652470
Name : OARM/GAD

Job number : 902
Date : Dec-29 03:07pm
To : 915188991622
Document pages : 005
Start time : Dec-29 03:07pm
End time : Dec-29 03:08pm
Pages sent : 005
Status : OK

Job number : 902 *** SEND SUCCESSFUL ***

P: Sally Larson
54-894-1622
FOR YOUR FILE

DEC 29 2005

 U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment		ASSISTANCE ID NO. EA-82188901-1 Page 1	
		PROJ. 60010 AMEND TYPE OF ACTION: 1 PAYMENT METHOD: ACH DATE OF AWARD: 12/29/05 MAXIMUM DATE: 12/29/05	DATE OF AWARD: 12/29/05 MAXIMUM DATE: 12/29/05
RECIPIENT TYPE: Not for Profit RECIPIENT: Building Performance Institute Inc 10 Hermes Road, Suite 200 Mass, NY 13020 EIN: 14-1789014		PAYEE: Director of Administration Building Performance Institute, Inc. 10 Hermes Road, Suite 200 Mass, NY 13020	
PROJECT MANAGER: William Pennington Building Performance Institute, Inc. 10 Hermes Road, Suite 200 Mass, NY 13020 Phone: 516-894-1622		EPA PROJECT OFFICER: Lena Nix 1500 Pennsylvania Ave. NW, 20460 Washington, DC 20460 E-Mail: lena.nix@epamail.dps.gov Phone: 202-343-8841	
PROJECT TITLE AND EXPLANATION OF CHANGES: Building Performance Institute, Inc. National Certification Program for Whole-House Contractors Increase of Funds (Incremental), Change of Recipient Project Manager.		EPA GRANT SPECIALIST: Asher Winters Grants Administration Division 1500 Pennsylvania Ave. NW Washington, DC 20460 E-Mail: Vivian.Asher@epa.gov Phone: 202-564-8348	
BUDGET PERIOD: 09/01/2004 - 08/31/2007		TOTAL BUDGET PERIOD COST: \$299,000.00	
PROJECT PERIOD: 09/01/2004 - 08/31/2007		TOTAL PROJECT PERIOD COST: \$1,000,000.00	
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.			
OFFER AND ACCEPTANCE			
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the Building Performance Institute, Inc. for \$299,000.00 for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed 02/02/2005 . Included here "by reference"			
(GRANTS OFFICE OR GRANTS MANAGEMENT OFFICE) ORGANIZATION/ADDRESS: Grants Administration Division 1500 Pennsylvania Ave. NW 20460 Washington, DC 20460		AWARD APPROVAL SIGNATURE ORGANIZATION/ADDRESS: Environmental Protection Agency Office of Air and Radiation 1500 Pennsylvania Ave. NW Washington, DC 20460	
SIGNATURE OF AWARD OFFICIAL: <i>[Signature]</i>		SIGNATURE OF THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY: MURDER LEE, Chief - Grants Operations Branch A	
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and shall be construed in accordance with the provisions of 40 CFR Chapter I, Subchapter A and of the provisions of this agreement (and all attachments), and (b) the acceptance of any payments constitutes an agreement by the party that the amount, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.			
SIGNATURE BY AND ON BEHALF OF THE DESIGNATED RECIPIENT (AWARDEE)		DATE DATE	

Building Performance Institute
10 Hermes Road, Suite 200
Malta, NY 12020
(518) 896-2727 phone
(518) 800-1622 fax



**Building
Performance
Institute**

Fax

To: Asher Weinberg	From: Sally Larron, Director of Administration
Fax: 202-585-2470	Pages: 2
Phone:	Date: 12/30/05
/Re:	CC:

☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

●



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

OFFICE OF ADMINISTRATION
AND RESOURCES
MANAGEMENT

DEC 29

Dear EPA Grant Recipient:

Federal funds have been approved for the project identified on the enclosed assistance agreement or assistance amendment. Please make a copy for your records and provide the appropriate copies within your organization. You must accept this assistance agreement or amendment by signing and returning it to EPA within three weeks to the following address:

U.S. Environmental Protection Agency
Grants Administration Division
Grants Operations Branch (3903R)
1200 Pennsylvania Avenue, N.W.
Washington, D.C. 20460

Please note that the above address is EPA's mailing address for normal delivery. If you want to send the agreement/amendment via courier, the following address should be used:

U.S. Environmental Protection Agency
Ronald Reagan Building
Grants Administration Division (3903R)
Room 51288 (Mail Room)
1300 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
(202) 564-5305

RECEIVED
JAN 1 AM 11:46
ADMINISTRATION DIVISION

If the assistance agreement or amendment includes any terms and conditions requiring signed certifications or assurances, you must return them with the agreement or amendment. Payment will not be made until required certifications and assurances are received.

This project is subject to post award monitoring activities such as site visits, correspondence and/or telephone calls as appropriate.

At the conclusion of this agreement, you are required to submit, within 90 calendar days, all financial, performance and other reports as required by the terms and conditions and applicable EPA regulations (40 CFR 30 or 40 CFR 31). EPA regulations governing this grant may be viewed at: www.epa.gov/ogd/grants

If you have any questions, please contact the Grants Specialist identified on the award document.

Enclosures

XA-83199901-1
Attachment A

Minority Business Enterprises (MBE)/Womens' Business Enterprises (WBE) Terms and Conditions for non-SRF Recipients with EPA-approved FY 1998 MBE/WBE Goals that were not based on historical data of dollars awarded to MBEs and WBEs

1. The recipient agrees to comply with the requirements of EPA's Program for Utilization of Small, Minority and Women's Business Enterprises in procurement under assistance agreements:

(a) The recipient accepts the applicable FY 1998 Minority Business Enterprise (MBE)/Womens' Business Enterprise (WBE) "fair share" goals/objectives negotiated with EPA by the State as the FY 1999 MBE/WBE "fair share" goals/objectives as follows:

	MBE	WBE
Construction	6.0 %	6.0 %
Supplies	8.8 %	8.8 %
Services	8.8 %	8.8 %
Equipment	8.8 %	8.8 %

(b)(1) The recipient agrees to ensure, to the fullest extent possible, that at least the applicable "fair share" objectives of Federal funds for prime contracts or subcontracts for supplies, construction, equipment or services are made available to organizations owned or controlled by socially and economically disadvantaged individuals, women and Historically Black Colleges and Universities.

(2) For assistance agreements related to research under the Clean Air Act Amendments of 1990, the recipient agrees to ensure, to the fullest extent possible, that at least the applicable "fair share" objectives of Federal funds for prime contracts or subcontracts for supplies, construction, equipment or services are made available to organizations owned or controlled by socially and economically disadvantaged individuals, women, disabled Americans, Historically Black Colleges and Universities, Colleges and Universities having a student body in which 40% or more of the students are Hispanic, minority institutions having a minority student body of 50% or more, and private and voluntary organizations controlled by individuals who are socially and economically disadvantaged.

(c) The recipient agrees to include in its bid documents the applicable "fair share" objectives and require all of its prime contractors to include in their bid documents for subcontracts the negotiated "fair share" percentages.

(d) The recipient agrees to follow the six affirmative steps or positive efforts stated in 40 CFR §30.44(b), 40 CFR §31.36(e), or 40 CFR §35.6580, as appropriate, and retain records documenting compliance.

(e) The recipient agrees to submit an EPA form 5700-52A "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements and Interagency Agreements," beginning with the Federal fiscal year quarter the recipient receives the award and continuing until the project is completed. These reports must be submitted to MBE/WBE Coordinator, Lupe Saldana, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Mail Code 3903R, Room 51239, Washington, D.C. 20460, 202-564-5353, within 30 days of the end of the Federal fiscal quarter (January 30, April 30, July 30, and October 30). For assistance awards for continuing environmental programs and assistance awards with institutions of higher education, hospitals and other non-profit organizations, the recipient agrees to submit an EPA form 5700-52A to MBE/WBE Coordinator, Lupe Saldana by October 30 of each year.

(f) If race and/or gender neutral efforts prove inadequate to achieve a "fair share" objective, the recipient agrees to notify EPA in advance of any race and/or gender conscious action it plans to take to more closely achieve the "fair share" objective.

2. EPA may take corrective action under 40 CFR Parts 30, 31, and 35, as appropriate, if the recipient fails to comply with these terms and conditions.

Administrative Conditions

1. The recipient agrees to comply with the MBE/WBE terms and conditions outlined in Attachment A.
2. The recipient agrees to provide EPA Form 5700-53, Lobbying and Litigation Certificate as mandated by EPA's annual appropriations act. A chief executive officer of any entity receiving funds under this Act shall certify that none of these funds have been used to engage in the lobbying of the Federal Government or in litigation against the United States unless authorized under existing law. The certification must be submitted in accordance with the instructions provided by the EPA award official and is due 90 days after the end of the project period.
3. Recipients shall fully comply with Subpart C of 40 CFR Part 32, entitled "Responsibilities of Participants Regarding Transactions." Recipient is responsible for ensuring that any lower tier covered transaction, as described in Subpart B of 40 CFR Part 32, entitled "Covered Transactions," includes a term or condition requiring compliance with Subpart C. Recipient is responsible for further requiring the inclusion of a similar term or condition in any subsequent lower tier covered transactions. Recipient acknowledges that failing to disclose the information required under 40 CFR 32.335 may result in the delay or negation of this assistance agreement, or pursuance of legal remedies, including suspension and debarment.

Recipients may access the Excluded Parties List System at <http://www.epls.gov>. This term and condition supersedes EPA Form 5700-49, "Certification Regarding Debarment, Suspension, and Other Responsibility Matters."

4. The authorized budget includes indirect costs in the amount of \$ 30,000. The recipient shall not include indirect costs in payment requests until an IDCR is negotiated and signed by the National Business Center (NBC). NBC is EPA's authorized representative to negotiate IDCRs. Indirect costs will be allowable effective with the approved period covered in the negotiated IDCR agreement. If the rate negotiated is lower than the rate cited in this assistance agreement, EPA will adjust the IDCR downward. If the final rate established is higher, the recipient may transfer funds from the direct cost categories to the indirect costs. However, total EPA payment will not exceed the amount awarded. Furthermore, the recipient agrees to keep their IDCR current by submitting a revised IDCR proposal 120 days after the close of their organization's fiscal year.

5. Payment to consultants. EPA participation in the salary rate (excluding overhead) paid to individual consultants retained by recipients or by a recipient's contractors or subcontractors shall be limited to the maximum daily rate for a Level IV of the Executive Schedule (formerly GS-18), to be adjusted annually. This limit applies to consultation services of designated individuals with specialized skills who are paid at a daily or hourly rate. As of January 1, 2005, the limit is \$537.76 per day and \$67.22 per hour. This rate does not include transportation and subsistence costs for travel performed (the recipient will pay these in accordance with their normal travel reimbursement practices).

Subagreements with firms for services which are awarded using the procurement requirements in 40 CFR 30 or 31, as applicable, are not affected by this limitation unless the terms of the contract provided the recipient with responsibility for the selection, direction, and control of the individuals who will be providing services under the contract at an hourly or daily rate of compensation. See 40 CFR 31.36(j) or 30.27(b).

All Other Administrative Conditions Remain the Same

Programmatic Conditions

All Programmatic Conditions Remain the Same

Budget Summary Page

Table A - Object Class Category (Non-construction)		Total Approved Allowable Budget Period Cost
1. Personnel		\$110,000
2. Fringe Benefits		\$30,000
3. Travel		\$30,000
4. Equipment		\$0
5. Supplies		\$2,000
6. Contractual		\$170,000
7. Construction		\$0
8. Other		\$23,000
9. Total Direct Charges		\$370,000
10. Indirect Costs: % Base See Terms & Conditions		\$30,000
11. Total (Share: Recipient 0.00 % Federal 100.00 %)		\$400,000
12. Total Approved Assistance Amount		\$400,000
13. Program Income		\$0

XA - 83199901 - 1 Page 2


Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.034 - Surveys-Studies-Investigations-Demonstrations and Special Purpose Activities relating to the Clean Air Act	Clean Air Act, Sec. 103	40 CFR PART 30

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Decbligation
	EC6095	0506	BR	58E0X07	105A46D	4183			200,000
	EC8093	0607	B	58E2	105A46DP3	4183		GR	200,000
									400,000

EPA OFFICIAL USE ONLY
RETURN AFTER SIGNATURE

EPA OFFICIAL USE ONLY
RETURN AFTER SIGNATURE

XA - 83199901 - 1 Page 1

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment		ASSISTANCE ID NO.		DATE OF AWARD	
			PRG	DOC ID	AMEND#	
			XA -	83199901	- 1	12/31/05
			TYPE OF ACTION			MAILING DATE
Augmentation: Increase			12/29/05			
PAYMENT METHOD:			ACH#			
ACH						
RECIPIENT TYPE:			Send Paym'n. Request to:			
Not for Profit			Las Vegas Finance Center			
RECIPIENT:			PAYEE:			
Building Performance Institute Inc			Director of Administration			
10 Hermes Road, Suite 200			Building Performance Institute, Inc.			
Malta, NY 12020			10 Hermes Road, Suite 200			
EIN: 14-1789014			Malta, NY 12020			
PROJECT MANAGER		EPA PROJECT OFFICER		EPA GRANT SPECIALIST		
William Parlapiano		Lena Nirk		Asher Weinberg		
Building Performance Institute, Inc.		1200 Pennsylvania Ave, NW 6202J		Grants Administration Division		
10 Hermes Road, Suite 200		Washington, DC 20460		1200 Pennsylvania Ave, NW		
Malta, NY 12020		E-Mail: Nirk.Lena@epamail.epa.gov		Washington, DC 20460, 390J		
E-Mail:		Phone: 202-343-9841		E-Mail: Weinberg.Asher@epa.gov		
Phone: 518-899-2727				Phone: 202-564-5348		
PROJECT TITLE AND EXPLANATION OF CHANGES						
National Certification Program for House-Holder Contractors						
Increase of Funds (Incremental): Change of Recipient Project Manager						
BUDGET PERIOD		PROJECT PERIOD		TOTAL BUDGET PERIOD COST		
09/01/2004 - 08/31/2007		09/01/2004 - 08/31/2007		\$896,000.00		
				\$1,000,000.00		
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
OFFER AND ACCEPTANCE						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Building Performance Institute Inc</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$400,000</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>07/13/2004</u> included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS			ORGANIZATION / ADDRESS			
Grants Administration Division			Environmental Protection Agency			
1200 Pennsylvania Ave, NW			Office of Air and Radiation			
3903R			1200 Pennsylvania Ave, NW			
Washington, DC 20460			Washington, DC 20460			
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY						
SIGNATURE OF AWARD OFFICIAL		TYPED NAME AND TITLE		DATE		
<i>Mildred Lee</i>		Mildred Lee, Chief - Grants Operations Branch A		12/30/05		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION						
SIGNATURE		TYPED NAME AND TITLE		DATE		
<i>William J. Parlapiano</i>		William J. Parlapiano, CEO		1/7/06		

Dec 30, 2005 1:19AM OAR/BUILDING PERFORMANCE INSTITUTE 5652470


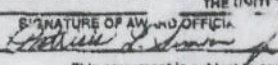
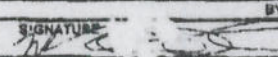
T-3 No. 2282/000 2002

518-899-1622

FOR YOUR FILE

DEC 29

XA - 83199801 - 1 Page 1

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment		ASSISTANCE ID NO.		DATE OF AWARD 12/24/05	
			PRO	DOC ID		AMEND#
			XA -	83199801		- 1
			TYPE OF ACTION			
RECIPIENT TYPE: Not for Profit			PAYMENT METHOD: ACH		MAILING DATE 12/29/05	
RECIPIENT: Building Performance Institute Inc 10 Hermes Road, Suite 200 Maita, NY 12020 EIN: 14-1789014			PAYEE: Director of Administration Building Performance Institute, Inc. 10 Hermes Road, Suite 200 Maita, NY 12020			
PROJECT MANAGER William Parlapiano Building Performance Institute, Inc. 10 Hermes Road, Suite 200 Maita, NY 12020 E-Mail: Phone: 518-899-2727			EPA PROJECT OFFICER Lena Nink 1200 Pennsylvania Ave, NW, 620J Washington, DC 20460 E-Mail: Nink.Lena@epamail.epa.gov Phone: 202-343-8841		EPA GRANT SPECIALIST Asher Weinberg Grants Administration Division 1200 Pennsylvania Ave, NW Washington, DC 20460, 3903R E-Mail: Weinberg.Asher@epa.gov Phone: 202-564-8348	
PROJECT TITLE AND EXPLANATION OF CHANGES National Certification Program for Whole-House Contractors Increase of Funds (Incremental); Change of Recipient Project Manager.						
BUDGET PERIOD 09/01/2004 - 08/31/2007		PROJECT PERIOD 09/01/2004 - 08/31/2007		TOTAL BUDGET PERIOD COST \$596,000.00	TOTAL PROJECT PERIOD COST \$1,000,000.00	
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Officer, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
OFFER AND ACCEPTANCE						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Building Performance Institute Inc</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$400,000</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>07/13/2004</u> included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS Grants Administration Division 1200 Pennsylvania Ave, NW 3903R Washington, DC 20460			ORGANIZATION / ADDRESS Environmental Protection Agency Office of Air & Radiation 1200 Pennsylvania Ave, NW Washington, DC 20460			
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY						
SIGNATURE OF AWARD OFFICIAL 			TYPED NAME AND TITLE Mildred Lee, Chief - Grants Operations Branch A		DATE 12/23/05	
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
BY AND ON BEHALF OF			SIGNED RECIPIENT ORGANIZATION			
SIGNATURE 			TYPED NAME AND TITLE William Parlapiano		DATE 12/30/05	

✓ Ifais
RPN 1/3/06

TOP
SECTION 2

(White)

07/07/2004 WED 10:20 FAX

6003/003

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8 Outreach & Project Management	\$	\$	\$	\$	0.00
9 Affiliate					0.00
10 Technical Development					0.00
11 QA & Accreditation					0.00
12 TOTAL (sum of lines 8-11)	\$	0.00	\$	0.00	0.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13 Federal	\$ 296,000.00	\$ 74,000.00	\$ 74,000.00	\$ 74,000.00	\$ 74,000.00
14 Non-Federal	0.00				
15 TOTAL (sum of lines 13 and 14)	\$ 296,000.00	\$ 74,000.00	\$ 74,000.00	\$ 74,000.00	\$ 74,000.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIOD* (Years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16 Outreach & Project Management	\$ 130,000.00	\$ 124,000.00	\$	\$	
17 Affiliate	122,000.00	44,000.00			
18 Technical Development	120,000.00	11,000.00			
19 QA & Accreditation	120,000.00	33,000.00			
20 TOTAL (sum of lines 16-19)	\$ 492,000.00	\$ 212,000.00	\$ 0.00	\$ 0.00	

SECTION F - OTHER BUDGET INFORMATION	
21 Direct Charges Task 3 "Other" charges are for membership and registration fees	22 Indirect Charges Provisional rate used, total indirect charges = \$212,500
23 Remarks: Project costs are based on a projected 36-month grant period	

TO: Chandler Von Schrader, EPA
CC: David Lee, EPA
FROM: William J. Parlapiano, III, CEO
Building Performance Institute
RE: Year 3, EPA Grant # XA-83199901-0 Funding Request
\$133,000

Building Performance Institute has expended Year 2 funds, in the amount of \$422,000, for EPA grant #XA-83199901-0 as of December 20, 2006. We are now requesting \$133,000, out of \$212,000 budgeted for year 3, for the time period January 1, 2007 - May 2007.

The funds are expected to be spent as follows:


- ⇒ Task 1 - Outreach and Stakeholder Support \$69,500
 - Exhibit and/or sponsorships at National conferences
 - National stakeholder meetings
 - Attend industry trade meetings
 - Conversion to web enabled information system for testing and data-access
 - Develop educational and promotional materials for consumers and contractors

- ⇒ Task 2 - Affiliate Network Business Development \$23,000
 - Recruitment, training, and qualification of new affiliates - some of the states in process include California, Colorado, Connecticut, Illinois, North Carolina, Florida, Wyoming, Nevada

- ⇒ Task 3 - Technical Development, Standards and Job \$17,500
 - Certification revisions, examination validation, and maintenance
 - Continuance of technical committee meetings
 - Standards committee support

- ⇒ Task 4 - Quality Assurance for Certification and Accreditation \$23,000
 - Implement market and affiliate based pilots to evaluate field-based QA systems for viability when used in the context of BPI Accreditation and the BPI QA system criteria developed under this project

Grants Certification Training Database

EPA Domino Directory => 

Project Officer Information

Project Officer:	Chandler Vonschrader	Grants Only
Office:	OAR,OAP,CPPD	E-Mail: Vonschrader.Chandler@epamail.epa.gov
AAShip:	OAR	Phone: 202-343-9096
Org Code:	6202	Mail Code:

Training Information

Basic Training Date 03/20/2003	Basic Training Type <input type="radio"/> IAG Only <input type="radio"/> Manager Only <input checked="" type="radio"/> Grants Only <input type="radio"/> IGMS Security
Refresher Training Date 01/26/2006	Refresher Training Type <input type="radio"/> IAG Only Refresher <input type="radio"/> Manager Only <input checked="" type="radio"/> Grants Only Refresher <input type="radio"/> IGMS Security

Last Training Date	01/26/2006
Certification Expiration Date	01/26/2009

Comments

Created By:

Ayako Sato/DC/USEPA/US on 03/20/2003

Last Modified By:

Gail Cornwell/DC/USEPA/US on 01/18/2007

Notification History:

NotifiedBy	NotifiedDate
Jeanine Conklin/DC/USEPA/US	01/25/2006

EPLS

Excluded Parties List System



Search - Current Exclusions

- > Advanced Search
- > Multiple Names
- > Exact Name and SSN/TIN
- > MyEPLS

View Cause and Treatment Code Descriptions

- > Reciprocal Codes
- > Procurement Codes
- > Nonprocurement Codes

Agency & Acronym Information

- > Agency Contacts
- > Agency Descriptions
- > State/Country Code Descriptions

OFFICIAL GOVERNMENT USE ONLY

- > Debar Maintenance
- > Administration
- > Upload Login

EPLS Search Results

Search Results for Parties Excluded by

Partial Name: building or AND performance or
AND Institute
Save to MyEPLS

Your search returned no results.

[Back](#) [New Search](#)

Resources

- > Search Help
- > Public User's Manual
- > FAQ
- > Acronyms
- > Privacy Act Provisions
- > News

Reports

- > Advanced Reports

Archive Search - Past Exclusions

- > Advanced Archive Search
- > Multiple Names

Contact Information

- > Email: support@epls.gov
episcorrections@epls.gov
- > Phone: 1-866-GSA-EPLS
1-866-472-3757

EPLS

Excluded Parties List System



Search - Current Exclusions

- > Advanced Search
- > Multiple Names
- > Exact Name and SSN/TIN
- > MyEPLS

View Cause and Treatment Code Descriptions

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- > State/Country Code Descriptions

OFFICIAL GOVERNMENT USE ONLY

- > Debar Maintenance
- > Administration
- > Upload Login

EPLS Search Results

Search Results for Parties Excluded by

Partial Name : parlapiano
Save to MyEPLS

Your search returned no results.

[Back](#) [New Search](#)

Resources

- > Search Help
- > Public Users Manual
- > FAQ
- > Acronyms
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- > News

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Archive Search - Past Exclusions

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- > Multiple Names

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- > Email: support@epls.gov
eplscments@epls.gov
- > Phone: 1-866-GSA-EPLS
1-866-472-3757

4	\$957.68	<u>05AS007553</u> <u>1</u>	Forward						
12/27/04	\$6,980.64	<u>GP</u> <u>05AS007476</u> <u>0</u>	Forward						
12/02/04	\$7,281.21	<u>GP</u> <u>05AS007084</u> <u>8</u>	Forward						
11/03/04	\$9,439.63	<u>GP</u> <u>05AS006608</u> <u>1</u>	Forward						
09/28/04	\$166,000.00	<u>RQ</u> <u>0458EC4564</u>	Back						
09/28/04	\$130,000.00	<u>RQ</u> <u>0458EC4565</u>	Back						

Maureen Hingeley
U.S. Environmental Protection Agency
Office of Air and Radiation
Office of Program Management Operations
202-564-5348
hingeley.maureen@epa.gov

Office of Grants and Department (Detail 11/06-2/07)

0		6							
01/05/06	\$80,251.88	GP 06AS014285 0	Forward						
12/23/05	\$200,000.00	RQ 065SEC6093	Back						
12/23/05	\$200,000.00	RQ 065SEC6095	Back						
10/20/05	\$3,276.04	GP 06AS012862 1	Forward						
10/20/05	\$77,287.54	GP 06AS012862 2	Forward						
09/14/05	\$35,724.73	GP 05AS012202 0	Forward						
08/10/05	\$32,386.63	GP 05AS011582 2	Forward						
07/12/05	\$44,832.84	GP 05AS011037 2	Forward						
06/23/05	\$41,715.83	GP 05AS010712 8	Forward						
05/11/05	\$17,547.92	GP 05AS009835 3	Forward						
04/05/05	\$11,156.98	GP 05AS009168 5	Forward						
03/01/05	\$3,225.42	GP 05AS008545 1	Forward						
02/01/05	\$4,186.91	GP 05AS008015 7	Forward						
12/30/00		GP							

Date	Ref Amount	Related Document	Direction	Date	Ref Amount	Related Document	Date	Ref Amount	Related Document
12/21/06	\$1,250.31	GP 07AS021173 7	Forward						
12/19/06	\$25,800.90	GP 07AS021126 8	Forward						
11/14/06	\$30,022.57	GP 07AS020402 7	Forward						
10/10/06	\$35,089.90	GP 07AS019709 4	Forward						
09/18/06	\$20,151.65	GP 06AS019297 1	Forward						
08/29/06	\$92,000.00	RQ 0658EC6586	Back						
08/07/06	\$37,592.41	GP 06AS018483 5	Forward						
07/10/06	\$57,130.11	GP 06AS017893 1	Forward						
06/02/06	\$49,392.64	GP 06AS017215 0	Forward						
05/04/06	\$30,361.14	GP 06AS016657 1	Forward						
04/06/06	\$50,285.53	GP 06AS016085 4	Forward						
02/23/06	\$39,713.94	GP 06AS015210 3	Forward						
01/27/06	\$34,957.02	GP 06AS014695	Forward						

to remedy the situation.

YES_X____

NO____

The recipient has a balance of \$ 0 and the project's scheduled end-date is 8/31/2007

IFMS Document: GO XA83199901

01/26/07

Document Summary: General Ledger Entries

Doc Type: GO

Doc No: XA83199901

Vendor Code: 141789014AV

GICS Grant No: 831999012

GICS Budget Start Date: 09/01/2004

GICS Budget End Date: 08/31/2007

GICS Project Start Date: 09/01/2004

GICS Project End Date: 08/31/2007

Order Date: 09/27/04

Effective Date: 09/27/04

Closed Date: 12/20/06

End Date:

Servicing Finance Office: LP33

Order Amount: \$788,000.00

Paid Amount: \$788,000.00

Available Amount: \$0.00

Vendor: BLDG PERFORMANCE INSTITUTE INC

Document Details:

Line #	Line Amt	Paid Amt	Availa ble Amt	BFY	Fu nd	Org	Program	Jo b	BO C	CostO rg	Comm ts
001	\$166,000.00	\$166,000.00	\$0.00	20042005	B	58E2	105A46D	n/a	4183		0458EC4564
002	\$130,000.00	\$130,000.00	\$0.00	20042005	BR	58E0X18	105A46D	n/a	4183		0458EC4565
003	\$200,000.00	\$200,000.00	\$0.00	20052006	BR	58E0X07	105A46D	n/a	4183		0658EC6095
004	\$200,000.00	\$200,000.00	\$0.00	20062007	B	58E2	105A46DP3	n/a	4183	GR	0658EC6093
005	\$92,000.00	\$92,000.00	\$0.00	20062007	BR	58E0X07	105A46D	n/a	4183	GR	0658EC6586

Document Activity:

Chandler
VonSchrader/DC/USEPA/US
01/26/2007 12:16 PM

To: Maureen Hingeley/DC/USEPA/US@EPA
cc
bcc
Subject: Re: incremental req 83199901-3 BPI

Thanks Maureen for jumping in and helping get this funding out the door. BPI is indeed meeting all requirements, see below.

thanks again.

Chandler von Schrader
ENERGY STAR - Home Improvement Program
202 343-9096
vonschrader.chandler@epa.gov
www.energystar.gov
Maureen Hingeley/DC/USEPA/US

Maureen
Hingeley/DC/USEPA/US
01/26/2007 10:26 AM

To: Chandler VonSchrader/DC/USEPA/US@EPA
cc
Subject: incremental req 83199901-3 BPI

Hi Chandler

Happy Friday! I am reviewing the incremental funding request for 83199901-3, BPI's "Whole House Contractor Cert for Delivery of Energy Efficiency in Home Imprv". Please fill out and return the following baseline monitoring questions and financial data.

Thanks
Maureen

As part of the required annual baseline monitoring, according to Order 5700.6 "Post Award Management Plan," I need to check the status of the grant mentioned above with you. Please respond to the following questions:

1. Is this project progressing satisfactorily? If no, explain the circumstances and the action taken to remedy the situation.

YES ☒ X

NO ☐

2. Have you received the progress reports required by this Assistance Agreement? If no, Explain the action you have taken to obtain these reports.

YES ☒ X

NO ☐

3. Have you checked the terms and conditions noted in this Assistance Agreement and ensured that the recipient is in compliance? If the recipient is not in compliance, explain the action taken.

Agreement #:83199901-3	Specialist: Maureen Hingeley
Project/Budget Periods: 9/1/04-8/31/07	

Baseline Monitoring Activities Checklist for Assistance Agreements

Required Grants Specialists Activities After One Year

ACTION DATES

Annual Basis of Agreement

Make Contact with Project Officer and Recipient	1/26/07
Check draw-downs in IFMS (Review financial status of award by comparing funds available to project progress and for time remaining on project)	1/26/07
Ensure Terms and Conditions are satisfied	1/26/07
Ensure A-133 Audits are filed (If recipient receives more than \$500,000/year in federal funds)	n/a
Ensure progress reports and any other technical reports are received by the Project Office	1/26/07

Use if when Applicable

Assist PO and LVFC with monitoring unobligated balances on recipient FSR in timely fashion	n/a
Document official grant file and IGMS with communication records and relevant award information (Amendments, Check Sheets)	1/26/07
Perform and evaluate changes to the agreement (Increments, Supplements, No-Cost Amendments)	1/26/07, incremental funding

NOTES



Post-Award Monitoring Form.

The general public benefits through decreased greenhouse gas emissions. In addition, certification allows building professionals to benefit because homeowners can recognize them as professionals with a demonstrated ability to perform high-quality energy efficiency improvements. Home owners benefit through a mechanism to recognize skilled building performance contractors and through decreased utility bills once work is performed.

V. Environmental Results

In accordance with GPI 04-02, the project work plan supports the Agency's strategic plan goals. Specifically, the work plan is consistent with:

Goal 1: Clean Air and Global Climate Change
Objective 1.5: Reduce Greenhouse Gas Intensity

EPA's strategy for helping to reduce GHG intensity is to enhance its partnerships with businesses and other sectors through programs that deliver multiple benefits in addition to reducing GHG intensity - from cleaner air to lower energy bills. At the core of these efforts are voluntary government-industry partnership programs designed to capitalize on the opportunities that consumer, businesses and organizations have for making sound investments in efficient equipment, policies and practices, and transportation choices.

VI. Competition:

OAR, OAP, CPPD received 8 applications from the competition CPPD-04-02 "Whole House Contractor Certification for the Delivery of Energy Efficiency in Home Improvement" solicited on January 26, 2004. One proposal was selected for funding for \$1,000,000 from the competition.

VII. Recommendation:

The applicant is requesting \$133,000 to fund activities for January through May 2007. We recommend funding at this level.

VIII. Approval:

✓ I approve this project

 I disapprove of this project

Brian J. McLean 10/26/06
for Brian J. McLean, Office of Atmospheric Program

Attachment

Letter of request from grantee with budget narrative

- BPI continues to engage affiliates in preparing candidates for certification and accreditation to support and grow existing HPwES program needs. The City of Anaheim has designed their HPwES program to include BPI certification and ultimately BPI contractor accreditation. BPI has established 12 Affiliates and in discussion for 4 others all across the country.
- BPI is completing the update of its web page allowing access to online forms and database integration. Ultimately the web site will support online testing to help lower the costs for technician testing
- BPI will continue its outreach and stakeholder support by attending and speaking at key industry conferences. At these conferences, BPI supports HPwES by introducing this national program to potential sponsors and contractors.
- BPI has submitted advertisements and articles in trade journals in its attempt to influence the mainstream HVAC and remodeling industry.

III. Statutory Authority:

The Clean Air Act, Section 103 provides for studies relating to the causes, effects, extent, prevention and control of air pollution. Investigation, training, and coordination of such activities are permitted. In addition, section 103(g) directs the Administrator to institute a "technology program to develop, evaluate, and demonstrate non-regulatory strategies and technologies for air pollution prevention." The section calls on the Administrator to provide opportunities for industry, public interest groups, scientists and other interested persons to participate in strategy development. Section 103(g) further directs EPA to include as elements in the program "improvements in non-regulatory strategies and technologies for preventing or reducing multiple air pollutants including sulfur oxides, nitrogen oxides, heavy metals, PM-10 (particulate matter), carbon monoxide and carbon dioxide..." The strategies and technologies are to improve various pollutant reduction and non-regulatory control strategies including energy efficiency.

IV. Delegation of Authority Number:

Delegation of Authority # 7-11.

V. Justification of Award of Assistance

The purpose of this project is to support the accomplishment of a public purpose rather than to acquire property or services for EPA. This assistance agreement has been reviewed for appropriateness relative to the criteria and examples provided in the "Policy for Distinguishing Between Assistance and Acquisition." I certify that this assistance agreement appropriately meets the criteria.

- 1) Outreach to develop stakeholder support. To accomplish this task BPI will exhibit at conferences and sponsor national conferences, attend industry trade meetings, complete website upgrades and develop educational and promotional materials for consumers and contractors. Included in this stakeholder outreach is introducing Home Performance with ENERGY STAR to potential sponsors.
- 2) Develop affiliate network. BPI will continue to recruit, train and qualify new affiliates for their program. Likely candidates include affiliates in Texas, Colorado, Arizona, California, City of Anaheim, Oregon, Chicago and Michigan.
- 3) Technical development of job standards. BPI will work to revise and maintain certifications through its standing technical committees. The development of standards relevant to southern and western climates is very important to the national success of BPI.
- 4) Quality assurance for certification and accreditation. BPI will continue to work with its industry partners to develop and enhance criteria consistent with nationally accepted standards as well as create and implement enhanced contractor performance review criteria similar to ISO-9004-2. BPI will investigate quality assurance criteria, including national criteria for accreditation.
- 5) BPI will continue to foster technical and programmatic relations with ACCA and RESNET (and other energy efficiency stakeholders).

II. Accomplishments

To date BPI has made significant progress toward the goals outlined in the cooperative agreement. Highlights of the accomplishments include:

- BPI has developed a standardized process for the maintenance of its examinations and continues to work with the technical committee to improve the quality of all exams. Questions are being regionalized for greater applicability for southern and western states.
- The BPI Board approved the revisions and the implementation plan of the new accreditation model.
- BPI has hired Joe Kuenon to serve as the Director of National Standards to revise the existing technical standards and develop a process for ongoing standards maintenance.
- Implementation of field-based QA pilots will begin in at least 3 and as many as 6 different markets across the country. The intent is to trial the QA model in markets where existing HPwES sponsors provide varying levels (high, medium and low) of funding.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF AIR AND RADIATION

Climate Protection Partnership Division
U.S. EPA 6202J
Washington, DC 20460

MEMORANDUM

DATE: December 20, 2006

SUBJECT: Incremental Memorandum. Request for incremental funding for the Building Performance Institute (BPI) cooperative assistance agreement XA-83199901, "Whole House Contractor Certification for the Delivery of Energy Efficiency in Home Improvement"

FROM: Chandler von Schrader, Project Officer

THRU: Kathleen Hogan, Director, Climate Protection Partnerships Division

TO: Brian J. McLean, Director, Office of Atmospheric Programs

Please approve incremental funding of \$133,000 for this assistance agreement for ongoing activities covering January 2007 through May 2007. This is part of the third year funding of a three year, million dollar cooperative assistance agreement.

I. Objective of Project:

The objective of this incremental funding request is to continue the efforts of BPI to advance the development of national certification of contractors to deliver whole house energy efficiency improvements in existing homes. This certification addresses advanced diagnostics for homes and multiple systems within the home including heating, cooling, the building envelope and electric base load. BPI, Inc. would be building off the foundation established during the first year of this cooperative agreement. During its first and second year of funding, BPI established technician testing and developed and deployed standards and quality assurance services.

Through this cooperative agreement, BPI will continue to

Expected Environmental Results: Enter the Program Results Codes (PRC) of the funds that will be used for this assistance agreement. ☒

PRC	Amount	Program/Project	ALLOCATION %
105A46DP3	133,000	46 - Climate Protection Program	100

Total Amount: 133,000

This project supports the following Goals, Objectives, and Sub-Objectives.

Goal	Objective	Sub-Objective
1 - Clean Air and Global Climate Change	1.5 - Reduce Greenhouse Gas Intensity	1.5.0 - None

Describe how the Program/Project fits within the Agency's Strategic Plan/GPRA architecture.

In accordance with GPI-04-02, the project work plan supports the Agency's strategic plan goals. Specifically, the work plan is consistent with Goal 1: Clean Air and Global Climate Change. Objective 1.5: Reduce Greenhouse Gas Intensity. The objective of this incremental funding request is to continue the efforts of BF 1 to advance the development on national certification of contractors to deliver whole house energy efficiency improvements in existing homes. The general public benefits through decreased greenhouse gas emissions. EPA's strategy for helping to reduce GHG intensity is to enhance its partnerships with businesses and other sectors through programs that deliver multiple benefits in addition to reducing GHG intensity - from cleaner air to lower energy bills. At the core of these efforts are voluntary government-industry partnerships programs designed to capitalize on the opportunities that consumers, businesses and organizations have for making sound investments in efficient equipment, policies and practices, and transportation choices.

The PRCs assigned to the funding for this assistance agreement are consistent with these strategic goals/objectives/sub-objectives. The Office of Air and Radiation, in Office of Air and Radiation has reviewed the work plan for this agreement and determined that it contains well-defined outputs, and to the maximum extent practical, well-defined outcomes.

Is this project progressing satisfactorily? If no, explain the circumstances and the action taken to remedy the situation. Yes

Have you received the progress reports required by this Assistance Agreement? Yes

Have you checked the terms and conditions noted in this Assistance Agreement and ensured that the recipient is in compliance? (e.g. QA Plan) Yes

Commitment Clerk

Funding Regions: HQ

Commitment Clerk: Chandler VonSchrader, Asher Weinberg

Funding Region: EPA HQ

Change Request Attachments

Origination Information

Document Readers



**Awards
Working**

Award Change Request

Re: Bldg Performance Inst ->

Document Status

Document Phase: Grant Specialist
Current Editor: Maureen Hingley

Last Modified: 01/25/2007
Previous Editor: Chandler VonSchrader

Change Information

EPA Region: EPA HQ
Grant Number: 83199901-2
Change Type: Incremental Amendment
Details: requesting \$133,000
Requestor: Chandler VonSchrader
Title: Program Analyst
Phone: 202 343-9095
Request Date: 01/11/2007

Proposed Budget

Funds	Former Award	This Action	Amended Total
EPA Amount This Action:	\$788,000	\$133,000	\$921,000
EPA In-Kind Amount:	\$0	\$	\$0
Prior Year Balance:	\$0	\$	\$0
Other Federal Funds:	\$0	\$	\$0
Recipient Contribution:	\$0	\$	\$0
State Contribution:	\$0	\$	\$0
Local Contribution:	\$0	\$	\$0
Other Contribution:	\$0	\$	\$0
Allowable Project Cost:	\$788,000	\$133,000	\$921,000

Categorical Budget Table

Table A - Object Class Category	Total Approved Allowable Budget Period Cost	Previous Award Budget	This Award Budget	Ame
1. Personnel	\$	\$30,000	\$0	
2. Fringe Benefits	\$	\$8,000	\$0	
3. Travel	\$	\$10,000	\$12,353	
4. Equipment	\$	\$0	\$0	
5. Supplies	\$	\$2,000	\$0	
6. Contractual	\$	\$37,000	\$89,500	
7. Construction	\$	\$0	\$0	
8. Other	\$	\$5,000	\$31,147	
9. Total Direct Charges	\$0	\$92,000	\$133,000	
10. Indirect Costs: % Base	\$	\$0	\$0	
11. Total (Share Recipient % Federal %)	\$0	\$92,000	\$133,000	
12. Total Approved Assistance Amount	\$	\$92,000	\$0	
13. Program Income	\$	\$0	\$0	

Environmental Results

Select the appropriate competitive status for this agreement.

Competitive assistance agreement resulting from a competitive funding announcement issued after January 1, 2005

ACTION: R SCREEN: REQL USERID: CBTV

01/04/07

01:50:42 PM

*** REQUISITION ACCOUNTING LINE INQUIRY TABLE ***

KEY IS TRANS CODE, REQ NO, LINE NO

TRANS CODE: RQ

REQ NO: 0758EC7143

01- LINE NO: 001	BFY: 2006 2007	APPR: BR	✓	RPIO: 27	
BUDGET ORG: 58E0X07	PE: 105A46DP3	LINE AMT:		108,000.00	✓
COST ORG:	SITE/PROJ:	CLOSED AMT:		0.00	
BOC: 4183	RPTG CATG:	OBLG AMT:		0.00	
LAST CHG STATUS:	DESCRIPTION: BUILDING PERFORMANCE INSTITUTE				
02- LINE NO: 002	BFY: 2007 2008	APPR: B	✓	RPIO: 27	
BUDGET ORG: 58E2	PE: 105A46DP3	LINE AMT:		25,000.00	✓
COST ORG:	SITE/PROJ:	CLOSED AMT:		0.00	
BOC: 4183	RPTG CATG:	OBLG AMT:		0.00	
LAST CHG STATUS:	DESCRIPTION: BUILDING PERFORMANCE INSTITUTE				
03- LINE NO:	BFY:	APPR:		RPIO:	
BUDGET ORG:	PE:	LINE AMT:			
COST ORG:	SITE/PROJ:	CLOSED AMT:			
BOC:	RPTG CATG:	OBLG AMT:			
LAST CHG STATUS:	DESCRIPTION:				

03- *L009 HEADER CHANGE

Session:

ACTION: A SCREEN: CFRA USERID: IDGI

02/05/07 02:52:28 PM

CONTRACT REQUISITION FREEZE ACTION SCREEN

KEY IS CONTRACT REQUISITION DOCUMENT ID

	DOCUMENT ID	FREEZE AMOUNT	CONTRACT OFFICER
01-	RQ 0750EC7140	133,000.00	831999 J.DURAND 202-564-5351

02-

03-

04-

05-

06-

07-

08-

09-

10-

11-

12-

01 *LOOO ALL LINES ADDED

**EPA**United States
Environmental Protection Agency
Washington, DC 20460**COMMITMENT NOTICE**

NOTE: Preparation and approval of this form does not constitute an obligation of money. The use of this form is intended to guarantee the availability of money by reserving it for certain types of specified transactions.

THIS COMMITMENT TRANSACTION IN THE AMOUNT OF \$133,000.00

IS FOR: Incremental

☐ GRANT☐ INTERAGENCY AGREEMENT☐ OTHER☒ COOPERATIVE AGREEMENT☐ CONTRACT☐ PURCHASE ORDER

(Please provide project number, if known)

DESCRIPTION OF PROJECT, GOODS OR SERVICES

Whole House Contractor certification for the Delivery of Energy Efficiency in Home Improvement

NAME OF GRANTEE/CONTRACTOR/VENDOR

Building Performance Institute

EMPLOYER IDENT NO (EIN)

TASK, ROAD OR OTHER LOCAL IDENTIFIER

SPECIAL COMMENTS OR INSTRUCTIONS

ALLOWANCE HOLDER APPROVALS (Optional, a discretion of Allowance Holder)

ALLOWANCE HOLDER TITLE

RESPONSIBILITY CENTER TITLE

58

58E

STAFF APPROVALS

SIGNATURE

DATE

PHONE

Kathleen Hogan, Director, CPPD

12/22/06

Brian J. McLean, Director, OAP

12/28/06

FUNDS CERTIFICATION

PREPARED BY

APPROVED BY

SIGNATURE

SIGNATURE

Christina Tolson

Christina Tolson, EPO

DATE 12/22/06

PHONE

DATE 1/4/07

PHONE

Contractor negotiator is ☐ is not ☒ Authorized to exceed the amount shown above by 10% without securing further approval for funds

THE AMOUNT OF MONEY SHOWN IS

☒ AN ORIGINAL COMMITMENT☐ AN INCREASE TO PREVIOUS COMMITMENT☐ A DECREASE TO PREVIOUS COMMITMENT**Financial and Accounting Data**

Line	DCN	Budget FY	Appropriation Code	Budget Org/Line	Program Project Code	Object Class	SFO
1	EC7143	0607	BR	58E0X07	105A46DP3	4183	33
2	EC7143	0708	B	58E2	105A46CP3	4183	
3					15		

	Amount	Change	Comments	Org/Line	Comments
1	\$108,000.00			N/A	37 N/A
2	\$25,000.00			N/A	37 N/A
3					

Kathleen Hogan, Brian Mclean

01/22/2007

Due Date: 01/26/2007

Response	Approver	Date
Approve	Kathleen Hogan	01/22/2007
Approve	Brian Mclean	01/22/2007

Fund Certifying Official Signature

Fund Certifying EPA HQ

Official Region:

Fund Certifying Christina Tolson
Official

Title:

Phone: 202-343-9772

Delegate: Glenn Davis

Title:

Phone: 202-343-9405

Fund Certifying Official Signature - Signed by Christina Tolson/DC/USEPA/US on 01/25/2007 02:57:16 PM, according to /USEPA/US

Project Officer

Approving Region EPA HQ

Project Officer: Chandler VonSchrader

PO Phone: 202-343-9056

Grant Specialist

Grant Specialist: Maureen Hingley

Funding Recommendation

Origination Information

Notifications History

Document Readers

**Commitment Notice**

Title: Building Performance Institute

Document Status

Document Phase: Final
 Current Editor: Chandler VonSchrader
 Delegate: Asher Weinberg

Last Modified: 01/25/2007
 Previous Editor: Christina Tolson

Commitment Notice Information

Program Code: XA - Surveys-Studies-Investigations-Demonstrations and Special Purpose Activities
 relating to the Clean Air Act

Grant Number: 83199901.3

Approved Ceiling: \$1,000,000

Commitment Amt: \$133,000

Commitment Type: Increase To a Previous Commitment

Agreement Type: Assistance Amendment

Applicant Name: BLDGPERS - Building Performance Institute Inc

DUNS: 949281273

Employer EIN: 14-1789014

Awarding Region: EPA HQ

Responsibility Ctr:

Allowance Holder:

Is Contract Negotiator Allowed to exceed amount shown above by up to 10% without securing further approval for:

Allowed to Exceed: No

Description of Project, Goods, or Services

Description: Incremental funding for the third year of the whole house contractor certification for the delivery of energy efficiency and home improvement

Task, Report, or Other Local Identifier

Identifier:

Special Comments and Instructions

Comments: Paper funding package was already approved but missed the GAD deadline for paper submittal. The paper docs are attached below

Commitment Notice Document Attachments

Fiscal Information

Vendor Code: 141789014AV
 Service Finance: AP33
 Office:
 Accounting Period: 042007
 IFMS Status: ACCEPTED

Line	Site Name	Req No	Fiscal Yr	Approp Code	BU Code	FRG	Obj Class	Amount	Site Project	Custom Code
001		0756EC7143	0607	BR	58F0X07	10A46DP3	4181	108,000		
002		0756EC7143	0705	B	58E2	10A46DP3	4153	25,000		
								133,000		

Review

Read Access: Controlled
 Readers: Asher Weinberg
 Chandler VonSchrader

Submitted:

Approvers:

Submitted: